**Declaration of Interest for Academia Sinica**

**(For Governmental Research Project Case)**

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| Academia Sinica provides this form to a person or persons who have the obligations of making a disclosure(s) according to Article 8 of the Management Guidelines on Conflicts of Interest (hereinafter referred to as **Guidelines**), which stipulate that the disclosure requirements of the above mentioned personnel in the event of receiving subsidies from governmental agencies(institutions) or units, undertaking sponsored research project from governmental agencies(institutions) or units, conducting research with the support of governmental budgets, or funded by the scientific research fund. All person(s) who are conducting research that is publicly funded are required to disclose faithfully the following financial interests: any significant financial interests in for-profit enterprises or other non-governmental organizations related with this research or other interests that maybe reasonably considered as a situation which may have the effect of influencing the objectivity of scientific research in question, shall faithfully disclose the situations. The Conflicts of Interest Committee (hereinafter referred to as **COIC**) or IRB shall determine whether conflicts exist and decide measures in respect of how to manage, reduce or eliminate such conflicts. | | | |
| **Part 1. Filer's information** | | | |
| **Name：** | **Unit/Department：** | | **Position：** |
| **TEL：** | **E-Mail：** | | |
| **Filer’s job title in this research project:**  □Principal Investigator; □ Co-Investigator; □ Joint Investigator; □ Others: | | | |
| **Part 2. Research Project information** | | | |
| **Name of the Research Project：** | | | |
| **Docket Number：** | | | |
| **Type of Research project:**   * Research project subsidized by government agencies(institutions) * Government agencies(institutions) sponsored research projects * Research plan supported by the official budget or scientific research fund of Academia Sinica, please describe: * Other types: | | | |
| **Name of the Government Agency(Institution) Providing Subsidy/ Sponsorship：** | | | |
| **Principal Investigator of Research Project：** | | | |
| **Research project start date：** | | **Research project end date：** | |
| **Whether the research project is subjected to the reviewing process of the IRB or not?**   * No; * Yes, please also fill in the IRB Personal Non-financial Relationship Conflict of Interest Management Disclosure Form | | | |
| **Does the research project focus on research related to the technology that the Academia Sinica has licensed, assigned or transferred?**   * No; * Yes, please explain below: (please state the technical name and the docket number) | | | |
| **Part 3. Information regarding Significant Financial Interest:** | | | |
| **1. Have you, your spouse or cohabiting partner, or minor children, obtained financial interests in the research-related “for-profit enterprise or other non-governmental organization”?**   * None (no need to fill in questions 2 to 4) * Yes; please indicate the for-profit enterprises or the NGOs (hereinafter "Entity"), which are related to this research: | | | |
| Remark：   1. **If there are multiple Entities fulfill the above mentioned requirements, please fill in the third part of separate disclosure forms.** 2. “Spouse”includes a same sex partner who has been registered for marriage. 3. The aforementioned Entity related to research refers to the provision of significant financial benefits to you, your spouse or cohabiting partner, minor children (hereinafter referred to as related parties) or other that can be reasonably considered to possibly influence the objectivity of the research, include but not limited to the following: 4. A person(s) conducting research where the results could be relevant to the development, manufacture, or improvement of the products or services of the Entity in which a person(s) making a disclosure or related parties has a Significant Financial Interest. 5. A person(s) making a disclosure or related parties having a Significant Financial Interest in the Entity that might manufacture or commercialize a drug, device or procedure that would result from the research; or that manufactures or sells any product that will be tested or used in the project, or that would reasonably appear to be affected by the research. 6. A person(s) making a disclosure or related parties having a Significant Financial Interest in any Entity that: subcontracts a portion or all of the work; leases the space to be used; is any named participant in the research; or will purchase the products deriving from the research. 7. A person(s) making a disclosure or related parties holding significant financial interests with any Entity that can be reasonably considered to possibly influence the objectivity of the research. | | | |
| **2. Have you, your spouse or cohabiting partner, or minor children obtained or expected to receive an amount greater than NT$150,000 in financial interests from the Entity within one year prior to this disclosure?**   * No * Yes; please explain below: | | | |
| Remark：   1. “Financial Interests” refers to any interest including: chattel, real-estate, cash, deposits, foreign exchange, securities with value, loans, rights with pecuniary values, interests with economic values, or that are acquirable through money transactions. 2. Financial interests of “Investment or Rights of Shares” shall be reported in the next section. 3. Financial interests managed by the trustee, the frontman in a name-borrowing contract in which you are a silent owner, or your agent, spouse or cohabiting partner, or minor children , shall be aggregately calculated with all of the corresponding financial interests. 4. For donations made by Academia Sinica, such as the designated use for you, the laboratory that you preside, or your specific research project in progress, etc., it should be aggregately calculated with all of the financial interests. 5. In the event the aforementioned financial interests are agreed upon to be acquired after disclosure, said interests shall be aggregately calculated with all corresponding financial interests. 6. Royalty payments generated from licensing contracts approved by Academia Sinica, and fees for Principal Investigators or stipends from contracts for research approved by Academia Sinica, shall not be considered as Financial Interests. However, income or consulting fees from part-time jobs approved by Academia Sinica shall be aggregately calculated with all of the financial interests. | | | |
| **3. Have you, your spouse or cohabiting partner, or minor children had invested or held the shares of the Entity within the last year prior to this disclosure?** **(Please refer to the point 1 of the Remark below).**   * No. (Please refer to the point 2 of the Remark below). * Yes, have invested or held shares   □ Investment, and the amount of investment in aggregation exceeds NT$150,000. Please explain below:  □ The shares are of the non-listed or not over-the-counter companies. Please explain below:  □ The shares are of the listed or over-the-counter companies, and the market value exceeds  NT$150,000. Please explain below. | | | |
| Remark：   1. The “Investments” referred to in this question indicated those investments with financial interests to the disclosed Entity without holding rights of shares of the disclosed Entity. Stock options or interests in other forms are included. 2. In the following three situations, please check “No”. (1) No investment or holding of shares in the disclosed Entity. (2) Investment in the disclosed entity, but the amount of investment does not exceed NT$150,000. (3) Invested or held the shares of the disclosed Entity, but the shares are of listed or over-the-countercompany, and the total market value does not exceed NT$150,000. 3. For the interests of you, your spouse or cohabiting partner, or minor children, the shares invested or held by the trustee, the frontman in a name-borrowing contract in which you are a silent owner, or your agent, shall be aggregately calculated with all of the corresponding financial interests. 4. In the event the aforementioned investments and shares are agreed upon to be acquired after disclosure, said interests shall be aggregately calculated with all corresponding financial interests. | | | |
| **4. Are you, your spouse or cohabiting partner, or minor children serving as the person(s) in charge, director(s), supervisor(s) or manager(s) of the Entity?**   * No * Yes; please explain below. | | | |
| Remark:   1. Any information in relation to an Entity, if required, maybe found from the website of the Ministry of Economic Affairs using the website provided below. However, information that cannot be acquired from the website shall also be disclosed. <https://findbiz.nat.gov.tw/fts/query/QueryBar/queryInit.do> 2. Those who undertake the aforementioned position as agreed by both parties after disclosure, shall also be included. | | | |
| **5. Are you, your spouse or cohabiting partner, or minor children the intellectual Financial interest property rights holders of the patents or works used in this research project or related to the research project, or have obtained financial interests as a result?**   * No * Yes; please explain below. | | | |
| Remark :  The " Financial interests" referred to in this question refers to the financial interests obtained from patents or works used by or related to the research project of Academia Sinica. Therefore, items such as royalties or authorization funds obtained from the technical transfer contract of Academia Sinica are NOT the financial interests referred to in this question. | | | |
| **6. Addition to the questions 1 to 5 listed above, are there any other interests which you consider may have a risk of influencing the objectivity of this scientific research?**   * No * Yes; please explain below. | | | |
| **Part 4. Statement** | | | |
| If the COIC or IRB considers the facts disclosed to affect the execution, review and monitoring of the research or technical transfer, a person(s) making a disclosure shall:   1. comply with the opinion of the COIC or IRB and follow its advice. 2. comply with the conditions or restrictions suggested by the COIC or IRB in order to manage, reduce or eliminate any existing or possible conflicts of interest. 3. understand that according to the Guidelines, a person(s) making a disclosure, investigators and technicians who violate this Guidelines shall be referred to the Divisional Ethics Review Committee with which the violator is affiliated. 4. promise to abide by all relevant criminal and administrative laws and rules.   I have used all reasonable diligence in reading the Guidelines and I confirm that all the information provided above is correct and consistent as to the best of my knowledge. If the information provided is false, I accept full responsibility. If I discover any other conflicts of interest that requires additional declaration after the making of this declaration, such conflicts of interest shall be disclosed to the COIC immediately. | | | |
| **Address to:**  **Conflicts of Interest Committee of Academia Sinica** | | | |
| **Date of Disclosure: 　　　(month)/ 　　　(Day)/　　　 (Year) (Required)** | | | |
| **Signature: (Required)** | | | |