2023 Academia Sinica Funding Opportunity Announcement Thematic Research Program

The Academia Sinica **Thematic Research Program** (TP) provides funding incentives to an individual investigator (capped at \leq 5M NTD per year for three years) or a collaborative research team with complementary expertise (capped at \leq 8M NTD per year for three years) to tackle important scientific or societal problems with promising plans to deliver impactful outcomes.

Interested Investigators are requested to submit a proposal that would clearly describe i) the **specific problem to be solved**, ii) the **innovative ideas and approaches to be taken**, iii) the **impact of the work** if the goals are realized, and iv) the overall **plans to test the ideas**, its feasibility, scientific or technical challenges, potential risks and key deliverables, along with any alternative plans to de-risk. The applications will be critically reviewed based on these criteria, and the applicants are advised to prepare the proposal accordingly.

1. Grant Categories

- 1. **Individual** TP Project, by a single individual Principal Investigator (PI).
- 2. **Team** TP Project, coordinated by a Project PI who also serves as the PI and can invite any number of Co-PIs and/or collaborators.

2. Application Process

2.1 Eligibility

- 1. The Project PI for both categories of TP Projects must be an Academia Sinica Research Fellow of any rank.
- 2. PIs can invite non-Academia Sinica scientist(s) to participate in the project and serve as co-PI(s), if necessary.
- 3. Collaborators enlisted should be justified in the proposal and each is required to provide a Letter of Support delineating their expertise and specific roles in the TP projects.

2.2 **Deadlines** and **Important Reminders**

- 1. Application deadline is **17:00**, **May 3**, **2022**; Proposals from Division of Life Sciences and Division of Mathematics and Physical Sciences should be written in English, adhering to the guidelines and format specified within the document template provided (<u>Appendix 1</u>), with a Research Plan describing the following four major aspects: 1) **the problem to be solved**
 - 2) the **innovative ideas proposed**, 3) **the potential impact of the work**, and 4) **plans to test the ideas**, along with any supporting preliminary data. Proposals from Division of Humanities and Social Sciences can be written in alternative language befitting its professional field (also refer to guidelines in <u>Appendix 1</u>).
- 2. In preparing the proposals, applicants should keep in mind the following review criteria: i) the significance of the problem to be addressed, ii) the degree of innovation, iii) the extent of anticipated impact, and iv) the feasibility of the research plan. The project should also be deemed internationally competitive by the reviewers. Furthermore, successful applicants should have relevant expertise and will devote sufficient effort to the project. Applicants are advised to read the Review Criteria (Appendix 2, also available online) before starting to write their proposals.

- 3. Use the form provided (<u>Appendix 3</u>) to submit a **Letter of Intent** by April 1, 2022, which includes a brief synopsis (≤250 words in English or ≤500 words in Chinese) of the intended research and scientific/societal problem to be addressed, and names of suggested potential reviewers. The Letter of Intent will not be used for screening nor will it be subject to review. It serves only to facilitate the administrative aspects of the grant review process.
- 4. Any research project involving biological and/or genetically engineered materials, animal experiments or human subjects must obtain approval from the appropriate authorized AS committees. If the official approval document cannot be submitted at the time of grant application, proof of having applied for approval must be provided instead.

3. Funding Period and other Requirements

3.1 Proposals should be written with a **three-year** vision and goals. The actual funding may be adjusted on a yearly basis based on budget availability and progress.

3.2. Grant Acceptance and Requirements prior to Project Execution

- 1. Project PIs and co-PIs of a successful application grant must sign and abide by the terms as stipulated in the Project Execution Agreement, and complete the Conflict of Interest declaration process, prior to commencing the project.
- 2. Approval from the authorized AS committees must be obtained and submitted before commencing any project involving biological and/or genetically engineered materials, animal experiments or human subjects. Should there be any change in the experimental content or subject matter during the project period, appropriate amendment or new application must be filed for approval.
- 3. In accordance with the AS Research Ethics regulations, all personnel who directly perform any AS-funded research activity should receive at least one hour of research ethics training every three years. Such training must be completed within six months of joining the research project, or within the duration of the project for a project lasting less than six months.
- 4. Project PIs and co-PIs found to have violated research ethics will be subject to actions recommended by the AS Ethics Committee.

3.3 **Reports** and **Evaluation**

- 1. A brief written progress summary of the previous year must be submitted yearly in February for review.
- 2. A final written report is due upon completion of each project.

4. Application Materials to be submitted

- 1. Use the form provided (Appendix 3) to submit a **Letter of Intent** by email to the TP Grant Office by **April 1, 2022**.
- 2. Use the document template provided (<u>Appendix 1</u>) to prepare the **Full Proposal**, adhering to the format and rules specified within. All required information including research plan, budget request and justification, supporting letters, biographical sketch, recent research accomplishments, and a list of current and pending grant support, should be organized into one PDF file and submitted as email attachment or through cloud-based service to the Grant Office, before the submission deadline: **17:00**, **May 3, 2022**. Name the submitted file as "TPn-PI Name", where n = category 1 or 2, *e.g.* TP1-ChenXX refers to Proposal submitted by PI ChenXX for an Individual TP grant (category 1).
- 3. A confirmation acknowledgement email will be sent upon receipt and content verification of the submitted proposal. It can be used as proof of submission. Incomplete applications will

not be considered.

4. TP Grant Office Contact information:

Email: astp@gate.sinica.edu.tw

Department of Academic Affairs and Instrument Service

c/o Ms. Chin-Cheng Tseng (Tel: 2789-9386, chincheng@gate.sinica.edu.tw) for Division of Mathematics and Physical Sciences;

Ms. Mei-Hsiu Chien (Tel: 2789-9676, mhchien@gate.sinica.edu.tw)

For Division of Life Sciences;

Ms. Wan-Ling Chao (Tel: 2789-8067, bchao@gate.sinica.edu.tw)

for Division of Humanities and Social Sciences

申請 中央研究院 112年度「主題研究計畫」簡要中文說明

壹、計畫類型:

主題研究計畫型別分為下列2類:

- 一、個人型研究計畫
- 二、單一整合型研究計畫

貳、計畫申請作業

一、申請人資格

- (一) 研究計畫之(總)主持人須為本院專任研究人員。
- (二) 計畫主持人可邀請非本院專家學者參與研究團隊,擔任該計畫共同主持人。
- (三) 若因執行計畫需邀請合作專家學者或協同參與人員·計畫主持人可於申請書詳細說明 其必要性·並檢附被邀請者同意參與計畫並提供協助或支持該計畫之說明信函 (supporting letter)。

二、計畫申請時程與其他注意事項:

- (一) 申請時程為即日起至 111 年 5 月 3 日 17 時止,數理組及生命組之計畫書應以英文 撰寫,格式請見 附件 1;人文組可以該專業領域之國際學者熟悉的文字為主要考量。
- (二) 撰寫計畫書時,應著眼於欲解決的議題之重要性、所提出的思維與方法之創新性, 及研究成果對該領域的影響力。敬請參酌本徵求的英文版說明,及計畫審查基準英 文說明 (附件 2)。
- (三) 有意願申請者應於 111 年 4 月 1 日前先遞送計畫申請意願書·含中英文摘要及建議 審查委員名單等資料,以利安排審查作業,格式請見附件 3。
- (四) 研究計畫中涉及生物材料及基因重組相關實驗、動物實驗及以人為研究對象者,應 檢附相關委員會之核准文件。核准文件未能於申請時提交者,須先提交已送審之證 明文件,並於計畫審核通過前補齊核准文件。

參、計畫補助年限與執行前需求

一、計畫應以 3 年研究目標撰寫·每年實際核定經費將視當年之預算金額及前一年之執行進度 而定。

二、核定後執行前須知

(一) 經核定通過之總主持人及共同計畫主持人須簽署相關計畫執行同意書,並於計畫執行

前完成利益揭露程序;

- (二) 核定通過之研究計畫·若有涉及生物材料及基因重組相關實驗、動物實驗及以人為研究對象者·應於提供相關委員會之核准文件後·方可核撥經費。如相關實驗內容於執行期間有所變更·亦須重新申請或修訂審核同意文件;
- (三) 依據「中央研究院學術研究倫理教育課程實施要點」·執行以本院預算支應之研究計畫者·參與計畫人員每3年應接受至少1小時之學術倫理教育課程訓練·至遲應於開始參與研究計畫之日起6個月內完成;研究計畫執行期限少於6個月者·應於計畫執行期限內完成;
- (四) 計畫主持人及共同計畫主持人如涉有違反學術倫理之情事者,依「中央研究院各級倫理委員會設置及作業要點」處理。

二、執行進度與成果報告

- (一) 計畫執行期間,每年2月須提交前一年之精簡進度摘要報告。
- (二) 計畫執行期滿,須提交完整執行成果報告。

肆、申請資料

- 一、**申請意願書**·請依格式 (附件 3) 填妥簡要資料後·於 111 年 4 月 1 日前以電子郵件寄至「主題研究計畫」辦公室。
- 二、申請計畫書‧請依規定計畫書格式 (附件 1) 備妥所需資料‧含研究規劃、經費需求與說明、計畫支持說明信函、主持人與共同主持人個人履歷、近期研究成果‧目前正執行與申請中之計畫件數及經費補助情形等‧合併成一完整 PDF 電子檔‧於 111 年 5 月 3 日 17 時前‧以電子郵件或雲端分享方式傳達計畫辦公室。檔名請命名為 "TPn-PI 英文姓名"‧n = 計畫類型 1 或 2; 例如 TP1-ChenXX 為陳姓主持人申請之個人型主題研究計畫 (類型 1)。
- 三、承辦人於收到完整無誤的計畫書後,將回信確認,此回覆信函可當收件之存證。
- 四、學術及儀器事務處「主題研究計畫」辦公室電子郵件聯絡方式: <u>astp@gate.sinica.edu.tw</u> 承辦人:

數理組:曾錦錚小姐 (Tel: 2789-9386, chincheng@gate.sinica.edu.tw)

生命組:簡玫秀小姐 (Tel: 2789-9676, mhchien@gate.sinica.edu.tw)

人文社會組: 趙婉伶小姐 (Tel: 2789-8067, bchao@gate.sinica.edu.tw)