

2023 Academia Sinica Funding Opportunity Announcement

Investigator Award

The Academia Sinica **Investigator Award** (IVA) provides funding incentives of up to 5M NTD per year for 5 years to outstanding Investigators with strong past performance to tackle important scientific or societal problems with innovative ideas and original thinking, as a major component of their research career.

Interested Investigators are requested to submit a proposal that would clearly describe i) the **specific problem to be solved**, ii) the **innovative ideas and approaches to be taken**, iii) the **impact of the work** if the goals are realized, and iv) the overall **plans to test the ideas**, its feasibility, scientific or technical challenges, potential risks and key deliverables, along with any alternative plans to de-risk. The applications will be critically reviewed based on these criteria, and the applicants are advised to prepare the proposal accordingly.

1. Application Process

1.1 Eligibility

1. The Project PI for IVA must be an Academia Sinica Associate Research Fellow or above.

1.2 Deadlines and Important Reminders

1. Application deadline is 17:00, **May 3, 2022**; Proposals from Division of Life Sciences and Division of Mathematics and Physical Sciences should be written in English, adhering to the guidelines and format specified within the document template provided ([Appendix 1](#)), with a Research Plan describing the following four major aspects: 1) **the problem to be solved**, 2) the **innovative ideas proposed**, 3) **the potential impact of the work**, and 4) **plans to test the ideas**. Proposals from Division of Humanities and Social Sciences can be written in alternative language befitting its professional field.
2. In preparing the proposals, applicants should keep in mind the following review criteria: i) **the significance of the problem to be addressed**, ii) **the degree of innovation**, iii) **the extent of anticipated impact**, and iv) **the feasibility of the research plan**. The project should also be deemed internationally competitive by the reviewers. Furthermore, successful applicants should have relevant expertise, will devote sufficient effort to the project, and demonstrate a commitment to the project as a major component of their career.

Applicants are advised to read the Review Criteria ([Appendix 2](#), also available online) before starting to write their proposals.

3. Use the form provided ([Appendix 3](#)) to submit a **Letter of Intent** by April 1, 2022, which includes a brief synopsis (≤ 250 words in English or ≤ 500 words in Chinese) of the intended research and scientific/societal problem to be addressed, and names of suggested potential reviewers. The Letter of Intent will not be used for screening nor will it be subject to review. It serves only to facilitate the administrative aspects of the grant review process.
 4. Any research project involving biological and/or genetically engineered materials, animal experiments or human subjects must obtain approval from the appropriate authorized AS committees. If the official approval document cannot be submitted at the time of grant application, proof of having applied for approval must be provided instead.
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2. Funding Period and other Requirements

2.1 Proposals should be written with a **five-year** vision and goals. The actual funding may be adjusted on a yearly basis based on budget availability and progress.

2.2. Grant **Acceptance** and **Requirements** prior to Project Execution

1. Project PIs of a successful application grant must sign and abide by the terms as stipulated in the Project Execution Agreement, and complete the Conflict of Interest declaration process, prior to commencing the project.
2. Approval from the authorized AS committees must be obtained and submitted before commencing any project involving biological and/or genetically engineered materials, animal experiments or human subjects. Should there be any change in the experimental content or subject matter during the project period, appropriate amendment or new application must be filed for approval.
3. In accordance with the AS Research Ethics regulations, all personnel who directly perform any AS-funded research activity should receive at least one hour of research ethics training every three years. Such training must be completed within six months of joining the research project, or within the duration of the project for a project lasting less than six months.
4. Project PIs found to have violated research ethics will be subject to actions recommended by the AS Ethics Committee.

2.3 **Reports** and **Evaluation**

1. A brief written progress summary of the previous year must be submitted yearly in February for review
2. A final written report to the AS Department of Academic Affairs and Instrument Services is due upon completion of each project.

3. **Application Materials to be submitted**

1. Use the form provided ([Appendix 3](#)) to submit a **Letter of Intent** by email to the IVA Grant Office by **April 1, 2022**.
2. Use the document template provided ([Appendix 1](#)) to prepare the **Full Proposal**, adhering to the format and rules specified within. All required information including research plan, budget request and justification, biographical sketch, recent research accomplishments, and a list of current and pending grant support, should be organized into one PDF file and submitted as email attachment or through cloud-based service to the Grant Office, before the submission deadline: **17:00, May 3, 2022**. Name the submitted files as "IVA-Project PI Name" *e.g.* IVA-ChenXX refers to Proposal submitted by Project PI ChenXX for an IVA grant.
3. A confirmation acknowledgement email will be sent upon receipt and content verification of the submitted proposal.
4. To complete the application process, three bound hard copies of the Full Proposal along with reprints of 3 representative recent publications in double-sided printing, must be submitted through the administrative process of the Institute or Research Center to the Department of Academic Affairs and Instrument Service, by the next day, **May 4, 2022**. Incomplete applications will not be considered.
5. IVA Grant Office contact information:
Email: iva@gate.sinica.edu.tw
Department of Academic Affairs and Instrument Service
c/o Ms. Ching-Ping Hsu (Tel: 2789-9351)

壹、計畫申請作業

一、申請人資格

- (一) 研究計畫主持人需為本院專任副研究員(含)以上。

二、計畫申請時程與其他注意事項：

- (一) 申請時程為即日起至 111 年 5 月 3 日 17 時止，數理組及生命組之計畫書應以英文撰寫，格式請見 附件 1；人文組以該專業領域之國際學者熟悉的文字為主要考量。
 - (二) 撰寫計畫書時，應著眼於欲解決的關鍵議題之重要性、所提出的思維與方法之創新突破性，及研究成果對該領域的影響力。敬請參酌本徵求的英文版說明，及計畫審查基準英文說明 (附件 2)。
 - (三) 有意願申請者應於 111 年 4 月 1 日前先遞送計畫申請意願書，含中英文摘要及建議審查委員名單等資料，以利安排審查作業，格式請見 附件 3。
 - (四) 研究計畫中涉及生物材料及基因重組相關實驗、動物實驗及以人為研究對象者，應檢附相關委員會之核准文件。核准文件未能於申請時提交者，須先提交已送審之證明文件，並於計畫審核通過前補齊核准文件。
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貳、計畫補助年限與執行前需求

- 一、計畫之撰寫應一律提 5 年研究目標，每年實際核定經費可視前一年之執行進度及當年的預算金額

二、核定後執行前須知

- (一) 經核定通過之計畫主持人須簽署相關計畫執行同意書，並於計畫執行前完成利益揭露程序；
- (二) 核定通過之研究計畫，若有涉及生物材料及基因重組相關實驗、動物實驗及以人為研究對象者，應於提供相關委員會之核准文件後，方可核撥經費。如相關實驗內容於執行期間有所變更，亦須重新申請或修訂審核同意文件；
- (三) 依據「中央研究院學術研究倫理教育課程實施要點」，執行以本院預算支應之研究計畫者，參與計畫人員每 3 年應接受至少 1 小時之學術倫理教育課程訓練，至遲應於開始參與研究計畫之日起 6 個月內完成；研究計畫執行期限少於 6 個月者，應於計畫執行期限內完成。
- (四) 計畫主持人如涉有違反學術倫理之情事者，依「中央研究院各級倫理委員會設置及作業要點」處理。

三、執行進度與成果報告

- (一) 計畫執行期間，每年 2 月須提交前一年之精簡進度摘要報告
 - (二) 計畫執行期滿，須向學術及儀器事務處提交完整執行成果報告。
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參、申請資料

- 一、**申請意願書**，請依格式 (附件 3) 填妥簡要資料後，於 111 年 4 月 1 日前以電子郵件寄至深耕計畫辦公室。
 - 二、**申請計畫書**，請依規定計畫書格式 (附件 1) 備妥所需資料，含研究規劃、經費需求與說明、主持人個人履歷、近期研究成果，目前正執行與申請中之計畫件數及經費補助情形等，合併成一完整 PDF 電子檔，於 111 年 5 月 3 日 17 時前，以電子郵件或雲端分享方式寄至計畫辦公室。檔名請命名為 "IVA-Project PI 英文姓名"；例如 IVA-ChenXX 為陳姓主持人申請之深耕研究計畫。
 - 三、承辦人於收到完整無誤的計畫書後，將 email 回信確認。
 - 四、申請計畫書之書面資料及 3 件代表著作，需另雙面列印並裝訂成 1 冊 (請提供 1 式 3 份)，於隔日 111 年 5 月 4 日，函送至學術及儀器事務處，完成本次申請程序。
 - 五、學術及儀器事務處「深耕計畫」辦公室電子郵件聯絡方式：iva@gate.sinica.edu.tw
承辦人：徐靜萍女士 (電話：2789-9351)
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